

Behind the Scenes of Chronological CDCL

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Backtracking in Out-of-Order Trail

τ	0	1	2	3	4
I	1	2	3	4	5
δ	0	1	0	2	1

τ	0	1	2	3
I	1	2	3	5
δ	0	1	0	1

τ	0	1
I	1	3
δ	0	0

Combining CDCL with Chronological Backtracking

τ	...	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19
l	...	4	5	30	47	15	18	6	-7	-8	45	9	38	-23	17	44	-16
δ	...	3	4	4	4	4	4	5	5	5	5	6	6	6	6	6	6

Combining CDCL with Chronological Backtracking

τ	...	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19
l	...	4	5	30	47	15	18	6	-7	-8	45	9	38	-23	17	44	-16
δ	...	3	4	4	4	4	4	5	5	5	5	6	6	6	6	6	6

decision literal

Combining CDCL with Chronological Backtracking

τ	...	4		5	6	7	8	9		10	11	12	13		14	15	16	17	18	19
l	...	4		5	30	47	15	18		6	-7	-8	45		9	38	-23	17	44	-16
δ	...	3		4	4	4	4	4		5	5	5	5		6	6	6	6	6	6

$\text{block}(l, 4)$

Combining CDCL with Chronological Backtracking

τ	...	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19
l	...	4	5	30	47	15	18	6	-7	-8	45	9	38	-23	17	44	-16
δ	...	3	4	4	4	4	4	5	5	5	5	6	6	6	6	6	6

$\text{slice}(l, 4)$

Combining CDCL with Chronological Backtracking

τ	...	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19
l	...	4	5	30	47	15	18	6	-7	-8	45	9	38	-23	17	44	-16
δ	...	3	4	4	4	4	4	5	5	5	5	6	6	6	6	6	6

$$l \leq 4$$

Combining CDCL with Chronological Backtracking

τ	...	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19
l	...	4	5	30	47	15	18	6	-7	-8	45	9	38	-23	17	44	-16
δ	...	3	4	4	4	4	4	5	5	5	5	6	6	6	6	6	6



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l	...	4	5	30	47	15	18	6	-7	-8	45	9	38	-23	17	44	-16
δ	...	3	4	4	4	4	4	5	5	5	5	6	6	6	6	6	6



conflicting { -47, -17, -44 }

conflict level 6

Combining CDCL with Chronological Backtracking

τ	...	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19
l	...	4	5	30	47	15	18	6	-7	-8	45	9	38	-23	17	44	-16
δ	...	3	4	4	4	4	4	5	5	5	5	6	6	6	6	6	6



conflicting { -47, -17, -44 }

learned { -30, -47, -18, 23 }

jump level 4

Combining CDCL with Chronological Backtracking

τ	...	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19
l	...	4	5	30	47	15	18	6	-7	-8	45	9	38	-23	17	44	-16
δ	...	3	4	4	4	4	4	5	5	5	5	6	6	6	6	6	6



conflicting { -47, -17, -44 }

learned { -30, -47, -18, 23 }

backtrack level 5

Combining CDCL with Chronological Backtracking

τ	\dots	4	5	6	7	8	9	10	11	12	13
l	\dots	4	5	30	47	15	18	6	-7	-8	45
δ	\dots	3	4	4	4	4	4	5	5	5	5

Combining CDCL with Chronological Backtracking

τ	...	4	5	6	7	8	9	10	11	12	13
l	...	4	5	30	47	15	18	6	-7	-8	45
δ	...	3	4	4	4	4	4	5	5	5	5

τ	...	4	5	6	7	8	9	10	11	12	13	14
l	...	4	5	30	47	15	18	6	-7	-8	45	23
δ	...	3	4	4	4	4	4	5	5	5	5	4

out of order

Combining CDCL with Chronological Backtracking

τ	...	4	5	6	7	8	9	10	11	12	13
l	...	4	5	30	47	15	18	6	-7	-8	45
δ	...	3	4	4	4	4	4	5	5	5	5

τ	...	4	5	6	7	8	9	10	11	12	13	14
l	...	4	5	30	47	15	18	6	-7	-8	45	23
δ	...	3	4	4	4	4	4	5	5	5	5	4

$\text{block}(l, 4)$

Combining CDCL with Chronological Backtracking

τ	...	4	5	6	7	8	9	10	11	12	13
l	...	4	5	30	47	15	18	6	-7	-8	45
δ	...	3	4	4	4	4	4	5	5	5	5

τ	...	4	5	6	7	8	9	10	11	12	13	14
l	...	4	5	30	47	15	18	6	-7	-8	45	23
δ	...	3	4	4	4	4	4	5	5	5	5	4

$\text{slice}(l, 4)$

Combining CDCL with Chronological Backtracking

τ	...	4	5	6	7	8	9	10	11	12	13
l	...	4	5	30	47	15	18	6	-7	-8	45
δ	...	3	4	4	4	4	4	5	5	5	5

τ	...	4	5	6	7	8	9	10	11	12	13	14
l	...	4	5	30	47	15	18	6	-7	-8	45	23
δ	...	3	4	4	4	4	4	5	5	5	5	4

$$l \leq 4$$

Combining CDCL with Chronological Backtracking

τ	...	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20
l	...	18	6	-7	-8	45	23	-38	-9	10	-11	13	16	-17	-25	42	12	-41
δ	...	4	5	5	5	5	4	4	5	6	7	5	4	4	4	4	5	5

Combining CDCL with Chronological Backtracking

τ	...	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20
l	...	18	6	-7	-8	45	23	-38	-9	10	-11	13	16	-17	-25	42	12	-41
δ	...	4	5	5	5	5	4	4	5	6	7	5	4	4	4	4	5	5



conflicting { 17, -42, -12 }

Combining CDCL with Chronological Backtracking

τ	...	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20
l	...	18	6	-7	-8	45	23	-38	-9	10	-11	13	16	-17	-25	42	12	-41
δ	...	4	5	5	5	5	4	4	5	6	7	5	4	4	4	4	5	5



conflicting { 17, -42, -12 }

backtrack level 4

Combining CDCL with Chronological Backtracking

τ	\dots	4	5	6	7	8	9	10	11
l	\dots	18	23	-38	16	-17	-25	42	-12
δ	\dots	4	4	4	4	4	4	4	4

CDCL Invariants

- Trail:** The assignment trail contains neither complementary pairs of literals nor duplicates.
- ConflictLower:** The assignment trail preceding the current decision level does not falsify the formula.
- Propagation:** On every decision level preceding the current decision level all unit clauses are propagated until completion.
- LevelOrder:** The literals are ordered on the assignment trail in ascending order with respect to their decision level.
- ConflictingClause:** At decision levels greater than zero the conflicting clause contains at least two literals with the current decision level.

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Invariants

Trail: The assignment trail contains neither complementary pairs of literals nor duplicates.

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$$(1): \quad \forall k, \ell \in \text{decs}(I) . \tau(I, k) < \tau(I, \ell) \implies \delta(k) < \delta(\ell)$$

$$(2): \quad \delta(\text{decs}(I)) = \{1, \dots, \delta(I)\}$$

$$(3): \quad \forall n \in \mathbb{N} . F \wedge \text{decs}_{\leq n}(I) \models I_{\leq n}$$

Input: formula F , set of variables V , trail I , decision level function δ

Output: SAT iff F is satisfiable, UNSAT otherwise

Search(F)

```
1   $V := V(F); I := \varepsilon; \delta := \infty$ 
2  while there are unassigned variables in  $V$  do
3     $C := \text{Propagate}(F, I, \delta)$ 
4    if  $C \neq \perp$  then
5       $c := \delta(C)$ 
6      if  $c = 0$  then return UNSAT
7       $\text{Analyze}(F, I, C, c)$ 
8    else
9       $\text{Decide}(I, \delta)$ 
10 return SAT
```

Propagate(F, I, δ)

```
1  while some  $C \in F$  is unit  $\{\ell\}$  under  $I$  do
2     $I := I\ell; \delta(\ell) := \delta(C \setminus \{\ell\})$ 
3    for all clauses  $D \in F$  containing  $\neg\ell$  do
4      if  $I(D) = \perp$  then return  $D$ 
5  return  $\perp$ 
```

Analyze(F, I, C, c)

```
1  if  $C$  contains exactly one literal at decision level  $c$  then
2     $\ell :=$  literal in  $C$  at decision level  $c$ 
3     $j := \delta(C \setminus \{\ell\})$ 
4  else
5     $D := \text{Learn}(I, C)$ 
6     $F := F \wedge D$ 
7     $\ell :=$  literal in  $D$  at decision level  $c$ 
8     $j := \delta(D \setminus \{\ell\})$ 
9  pick  $b \in [j, c - 1]$ 
10 for all literals  $k \in I$  with decision level  $> b$  do
11   assign  $k$  decision level  $\infty$ 
12   remove  $k$  from  $I$ 
13  $I := I\ell$ 
14 assign  $\ell$  decision level  $j$ 
```

Calculus

True: $(F, I, \delta) \rightsquigarrow_{\text{True}} \text{SAT}$ if $F|_I = \top$

False: $(F, I, \delta) \rightsquigarrow_{\text{False}} \text{UNSAT}$ if exists $C \in F$ with $C|_I = \perp$ and $\delta(C) = 0$

Unit: $(F, I, \delta) \rightsquigarrow_{\text{Unit}} (F, I\ell, \delta[\ell \mapsto a])$ if $F|_I \neq \top$ and $\perp \notin F|_I$ and exists $C \in F$ with $\{\ell\} = C|_I$ and $a = \delta(C \setminus \{\ell\})$

Jump: $(F, I, \delta) \rightsquigarrow_{\text{Jump}} (F \wedge D, PK\ell, \delta[L \mapsto \infty][\ell \mapsto j])$ if exists $C \in F$ with $PQ = I$ and $C|_I = \perp$ such that $c = \delta(C) = \delta(D) > 0$ and $\ell \in D$ and $\ell|_Q = \perp$ and $F \models D$ and $j = \delta(D \setminus \{\ell\})$ and $b = \delta(P)$ and $j \leq b < c$ and $K = Q_{\leq b}$ and $L = Q_{> b}$

Decide: $(F, I, \delta) \rightsquigarrow_{\text{Decide}} (F, I\ell, \delta[\ell \mapsto d])$ if $F|_I \neq \top$ and $\perp \notin F|_I$ and $\text{units}(F|_I) = \emptyset$ and $V(\ell) \in V$ and $\delta(\ell) = \infty$ and $d = \delta(I) + 1$

Calculus

True: $(F, I, \delta) \rightsquigarrow_{\text{True}} \text{SAT}$ if $F|_I = \top$

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Decide: $(F, I, \delta) \rightsquigarrow_{\text{Decide}} (F, I\ell, \delta[l \mapsto d])$ if $F|_I \neq \top$ and $\perp \notin F|_I$ and $\text{units}(F|_I) = \emptyset$ and $V(\ell) \in V$ and $\delta(\ell) = \infty$ and $d = \delta(I) + 1$

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Decide: $(F, I, \delta) \rightsquigarrow_{\text{Decide}} (F, I\ell, \delta[\ell \mapsto d])$ if $F|_I \neq \top$ and $\perp \notin F|_I$ and $\text{units}(F|_I) = \emptyset$ and $V(\ell) \in V$ and $\delta(\ell) = \infty$ and $d = \delta(I) + 1$